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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/809,538	03/24/2004	Arak Sutivong	030226	6148

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QUALCOMM INCORPORATED
5775 MOREHOUSE DR.
SAN DIEGO, CA 92121

EXAMINER

PATEL, CHANDRAHAS B

ART UNIT	PAPER NUMBER
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2464

NOTIFICATION DATE	DELIVERY MODE
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11/05/2010

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

us-docketing@qualcomm.com

Office Action Summary	Application No. 10/809,538	Applicant(s) SUTIVONG ET AL.	
	Examiner Chandrabhas Patel	Art Unit 2464	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 October 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1 and 3-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1 and 3-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>10/11/2010</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10/11/2010 has been entered.

Claim Rejections - 35 USC § 101

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claim 27 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. A computer readable medium typically covers forms of non-transitory tangible media and transitory propagating signals per se, particularly when the specification is silent. When the broadest reasonable interpretation of a claim covers a signal per se, the claims are rejected under 35 U.S.C. § 101 as covering non-statutory subject matter. It is suggested that the claims be amended to narrow the claim to cover only statutory embodiments to avoid a rejection under 35 U.S.C. § 101 by adding the limitation "non-transitory" to the claim. Such an amendment would typically not raise the issue of new matter, even when the specification is silent because the broadest reasonable interpretation relies on the ordinary and customary meaning that includes signals per se.

The specification defines a “computer readable medium” as “RAM memory, flash memory, ROM memory, EPROM memory, EEPROM memory, registers, hard disk, a removable disk, a CD-ROM, or any other form of storage medium” (Page 21, Para 92), which are only examples of computer readable media and is therefore non-limiting. In the broadest reasonable interpretation of the claim, there is a possibility that the computer readable medium can include a transitory signal, which is non-statutory subject matter.

Claim Rejections - 35 USC § 103

4. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
5. Claims 1, 3-8, 12-27 are under 35 U.S.C. 103(a) as being unpatentable over Narasimhan (USPN 7,016,651) in view of Ficarra (USPN 6,775,544).

Regarding claim 1, Narasimhan teaches a method of estimating noise in an Orthogonal Frequency Division Multiplexing (OFDM) system **[Abstract]**, the method comprising: receiving OFDM symbols **[Abstract]**; and averaging the received power with at least one previously stored received power measurement for the idle sub-carrier frequency band **[Col. 8, lines 17-47, averaging the power over the number of OFDM symbols and measuring signal quality and performing SNR estimate and averaging on a per symbol basis]**.

However, Narasimhan does not teach detecting a received power in an idle sub-carrier frequency band, wherein the idle sub-carrier frequency band includes only noise and interference.

Ficarra teaches detecting a received power in an idle sub-carrier frequency band, wherein the idle sub-carrier frequency band includes only noise and interference **[Col. 5, lines 13-20, detects noise and interference in an idle sub-carrier]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to determine noise and interference in an idle sub-carrier frequency band because when the channel is idle no subscribers are transmitting on the channel; therefore all received energy should come from rogues or intra-system interference **[Col. 5, lines 13-20]**.

Regarding claim 3, Narasimhan teaches prior to detecting the received power, demodulating an idle sub-carrier corresponding to the idle sub-carrier frequency band **[Fig. 2, 220 is before 235, also see Col. 5, lines 61-67 – Col. 6, lines 1-8]**.

Regarding claim 4, Narasimhan teaches determining the idle sub-carrier frequency band based in part on a received message **[Col. 12, lines 20-26, where selecting one of the subsets will leave the other subset idle]**.

Regarding claim 5, Narasimhan teaches the idle sub-carrier frequency band based in part on an internally generated sequence **[Col. 12, lines 20-26, selection is done after FFT recovers the symbols]**.

Regarding claim 6, Narasimhan teaches wirelessly receiving, from a base station transmitter, RF OFDM symbols **[Fig. 1, Col. 3, lines 19-23]**.

Regarding claim 7, Narasimhan teaches converting wirelessly received RF OFDM symbols to baseband OFDM symbols **[Fig. 1, 120]**; removing a guard interval from the baseband OFDM symbols **[Col. 3, lines 35-40]**; and transforming, using a Fast

Fourier Transform (FFT), time domain OFDM baseband signals to modulated sub-carriers **[Fig. 2, 208]**.

Regarding claims 8, 20, 26, Narasimhan teaches determining **one of a** magnitude, amplitude, or **a squared magnitude** in the idle sub-carrier frequency band **[Col. 8, lines 57-64]**.

Regarding claim 12, Narasimhan teaches averaging the received power with at least one previously stored received power measurement to produce a noise estimate corresponding to the idle sub-carrier frequency band **[Fig. 4, 410-420, Col. 10, lines 19-31]**; and communicating the noise estimate to a transmitter **[Fig. 4, 435, 440]**.

Regarding claim 13, Narasimhan teaches transmitting the noise estimate from a terminal transmitter to a base transceiver station **[Fig. 1, noise estimation is done in 120 which is then passed to 135, Col. 3, lines 40-48]**.

Regarding claim 14, Narasimhan teaches a method of estimating noise in an Orthogonal Frequency Division Multiplexing (OFDM) system **[Abstract]**, the method comprising: receiving OFDM symbols in a wireless cellular communication system, the OFDM symbols corresponding to a symbol period **[Abstract]**; storing a value of the power of the idle sub-carrier frequency band in a memory; and averaging the power of the idle sub-carrier frequency band with previously stored values to generate a noise estimate **[Col. 8, lines 17-47, averaging the power over the number of OFDM symbols and measuring signal quality and performing SNR estimate and averaging on a per symbol basis]**.

However, Narasimhan does not teach determining an idle sub-carrier frequency during the symbol, wherein the idle sub-carrier frequency band includes only noise and interference; determining a power, during the symbol period, in a frequency band corresponding to the idle sub-carrier frequency band.

Ficarra teaches determining an idle sub-carrier frequency during the symbol, wherein the idle sub-carrier frequency band includes only noise and interference; determining a power, during the symbol period, in a frequency band corresponding to the idle sub-carrier frequency band **[Col. 5, lines 13-20, detects noise and interference in an idle sub-carrier]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to determine noise and interference in an idle sub-carrier frequency band because when the channel is idle no subscribers are transmitting on the channel; therefore all received energy should come from rogues or intra-system interference **[Col. 5, lines 13-20]**.

Regarding claim 15, Narasimhan teaches an apparatus for estimating noise in an Orthogonal Frequency Division Multiplexing (OFDM) system **[Fig. 2]**, the apparatus comprising: a wireless receiver configured to wirelessly receive OFDM symbols corresponding to an OFDM symbol period **[Fig. 1 and 2, 120]**; a detector configured to detect a received power level received by the wireless receiver during the OFDM symbol period **[Fig. 2, 210, 235]**; a processor coupled to the detector and configured to determine an average noise estimate based in part on the noise estimate and a previously stored noised estimate **[Col. 8, lines 17-47, averaging the power over the**

number of OFDM symbols and measuring signal quality and performing SNR estimate and averaging on a per symbol basis].

However, Narasimhan does not teach determining an idle sub-carrier frequency band during the OFDM symbol period wherein the idle sub-carrier frequency band includes only noise and interference, to determine a noise estimate based in part on a received power level in the idle sub-carrier frequency band.

Ficarra teaches determining an idle sub-carrier frequency band during the OFDM symbol period wherein the idle sub-carrier frequency band includes only noise and interference, to determine a noise estimate based in part on a received power level in the idle sub-carrier frequency band **[Col. 5, lines 13-20, detects noise and interference in an idle sub-carrier].**

It would have been obvious to one of ordinary skill in the art at the time the invention was made to determine noise and interference in an idle sub-carrier frequency band because when the channel is idle no subscribers are transmitting on the channel; therefore all received energy should come from rogues or intra-system interference **[Col. 5, lines 13-20].**

Regarding claims 16 and 22, Narasimhan teaches the apparatus comprising memory coupled to the processor to store the noise estimates in the memory **[Fig. 4, 420 step shows comparing SQ which is noise estimate from step 415 so the apparatus has to have memory coupled to processor, also see Col. 10, lines 8-34].**

Regarding claims 17 and 23, Narasimhan teaches the apparatus comprising a memory coupled to the processor and storing a predetermined number of previously

determined noise estimates corresponding to the idle sub-carrier frequency band, the processor determining an average noise estimate based in part on the noise estimate and the previously determined noise estimates **[Col. 7, lines 29-42, the soft-decisions are noise estimates which would have to be stored if you want to get geometric mean so the apparatus has to have memory coupled to processor, also see Col. 10, lines 8-34]**.

Regarding claims 18 and 24, Narasimhan teaches the wireless receiver comprises: an RF receiver portion configured to wirelessly receive RF OFDM symbols and convert the RF OFDM symbols to the OFDM symbols **[Fig. 1, 120]**; a Fast Fourier Transform (FFT) module configured to receive the OFDM symbols from the RF receiver portion and transform the OFDM symbols to modulated sub-carriers **[Fig. 2, 208]**; and a demodulator coupled to the FFT module and configured to demodulate the modulated sub-carriers **[Fig. 2, 220]**.

Regarding claims 19 and 25, Narasimhan teaches the detector detects the received power levels of an output of the demodulator **[Fig. 2, 235]**.

Regarding claim 21, Narasimhan teaches an apparatus for estimating noise in an Orthogonal Frequency Division Multiplexing (OFDM) system **[Fig. 2]**, the apparatus comprising: means for wirelessly receiving OFDM symbols corresponding to an OFDM symbol period **[Fig. 1 and 2, 120]**; means for detecting a received power level received by the means for wirelessly receiving OFDM symbols during the OFDM symbol period **[Fig. 2, 210, 235]**; processing means, coupled to the means for determining an average noise estimate based in part on the noise estimate and a previously stored noised

estimate **[Col. 8, lines 17-47, averaging the power over the number of OFDM symbols and measuring signal quality and performing SNR estimate and averaging on a per symbol basis]**.

However, Narasimhan does not determining an idle sub-carrier frequency band during the OFDM symbol period wherein the idle sub-carrier frequency band includes only noise and interference, determining a noise estimate based in part on a received power level in the idle sub-carrier frequency band.

Ficarra teaches determining an idle sub-carrier frequency band during the OFDM symbol period wherein the idle sub-carrier frequency band includes only noise and interference, determining a noise estimate based in part on a received power level in the idle sub-carrier frequency band **[Col. 5, lines 13-20, detects noise and interference in an idle sub-carrier]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to determine noise and interference in an idle sub-carrier frequency band because when the channel is idle no subscribers are transmitting on the channel; therefore all received energy should come from rogues or intra-system interference **[Col. 5, lines 13-20]**.

Regarding claim 27, Narasimhan teaches a computer-readable medium embodying a program of instructions executable by a processor to perform a method of estimating noise in an Orthogonal Frequency Division Multiplexing (OFDM) system **[Col. 10, lines 8-34]**, the method comprising: receiving OFDM symbols in a wireless cellular communication system, the OFDM symbols corresponding to a symbol period

[Abstract]; storing a value of the power of the idle sub-carrier frequency band in a memory; and averaging the power of the idle sub-carrier frequency band with previously stored values to generate a noise estimate **[Col. 8, lines 17-47, averaging the power over the number of OFDM symbols and measuring signal quality and performing SNR estimate and averaging on a per symbol basis]**.

However, Narasimhan does not teach determining an idle sub-carrier frequency band during the symbol period, wherein the idle sub-carrier frequency band includes only noise and interference; determining a power, during the symbol period, in the idle sub-carrier frequency band.

Ficarra teaches determining an idle sub-carrier frequency band during the symbol period, wherein the idle sub-carrier frequency band includes only noise and interference; determining a power, during the symbol period, in the idle sub-carrier frequency band **[Col. 5, lines 13-20, detects noise and interference in an idle sub-carrier]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to determine noise and interference in an idle sub-carrier frequency band because when the channel is idle no subscribers are transmitting on the channel; therefore all received energy should come from rogues or intra-system interference **[Col. 5, lines 13-20]**.

6. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Narasimhan (USPN 7,016,651) in view of Ficarra (USPN 6,775,544) and Vella-Coleiro (USPN 7,197,085).

Regarding claim 9, the references teach a method as discussed in rejection of claim 1.

However, the references do not teach determining a sum of a square of a quadrature component with a square of an in-phase component.

Vella-Coleiro teaches determining a sum of a square of a quadrature component with a square of an in-phase component **[Col. 4, lines 38-45]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to determine a sum of a square of a quadrature component with a square of an in-phase component so that index value can be calculated **[Col. 4, lines 38-45]**.

7. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Narasimhan (USPN 7,016,651) in view of Ficarra (USPN 6,775,544) and Jones et al. (USPN 6,757,241).

Regarding claim 10, the references teach a method as discussed in rejection of claim 1.

However, the references do not teach determining if the idle sub-carrier frequency band comprises a system wide idle sub-carrier frequency band; storing the detected received power as a noise plus interference estimate if the idle sub-carrier

frequency band does not comprise the system wide idle sub-carrier frequency band; and storing the detected received power as a noise floor estimate if the idle sub-carrier frequency band comprises the system wide idle sub-carrier frequency band.

Jones teaches determining if the idle sub-carrier frequency band comprises a system wide idle sub-carrier frequency band **[Col. 3, lines 35-38]**; storing the detected received power as a noise plus interference estimate if the sub-carrier frequency band does not comprise the system wide idle frequency band **[Col. 3, lines 49-55]**; and storing the detected received power as a noise floor estimate if the sub-carrier frequency band comprises the system wide idle frequency band **[Col. 4, lines 29-38]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to store the detected receive power as a noise plus interference estimate if the sub-carrier frequency is being used and only storing noise if the sub-carrier frequency band is not used since in the absence of interference only noise is present **[Col. 4, lines 37-38]**.

8. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Narasimhan (USPN 7,016,651) in view of Ficarra (USPN 6,775,544) and Jones et al. (USPN 6,757,241) as applied to claim 10 above, and further in view of Crawford (USPN 6,549,561).

Regarding claim 11, the references teach a method as discussed in rejection of claim 10.

However, the references do not teach synchronizing a time reference with a transmitter transmitting the OFDM symbols.

Crawford teaches synchronizing a time reference with a transmitter transmitting the OFDM symbols **[Col. 6, lines 1-3]**.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to synchronize the time with a transmitter since its well known in the art that this information is included in the short symbol portion **[Col. 6, lines 1-3]**.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chandrahas Patel whose telephone number is (571)270-1211. The examiner can normally be reached on Monday through Thursday 7:30 to 17:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on 571-272-3139. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

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/Ricky Ngo/
Supervisory Patent Examiner, Art
Unit 2464

/Chandrabhas Patel/
Examiner, Art Unit 2464